Nomenclature, Synthesis and Applications of Spirocyclic Compounds

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Davood Habibi and Masoumeh Beiranyand

Cambridge Scholars Publishing



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This book first published 2023

Cambridge Scholars Publishing

Lady Stephenson Library, Newcastle upon Tyne, NE6 2PA, UK

British Library Cataloguing in Publication Data A catalogue record for this book is available from the British Library

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ISBN (10): 1-5275-3941-5 ISBN (13): 978-1-5275-3941-9

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PREFACE

Spirocycle compounds are twisted structures with two or more rings that share a single atom and plays a significant role in life. Spiro molecules are exciting classes of chemicals because of their rigid conformational features and three-dimensional geometry, which are used in organic optoelectronic devices, pharmaceutical chemistry, and materials science, and as an intermediate in organic chemistry. Developing spirocycle compounds is challenging in modern organic synthesis because it includes creating a quaternary center, one of the most challenging works among synthetic transformations.

This book comprehensively overviews the tremendous and advanced works reported about spiro compounds during the last 20 years. The primary purpose of this comprehensive book is introduction spiro compounds (chapter 1), naming (chapter 2), presentation of the most common, new, and diverse synthetic methodologies (chapters 3-5), and applications of spiro compounds (chapter 6) to academic staff and industry experts to become familiar with.

In particular, this book presents a considerable tool for chemists working in this research area. Moreover, the increasing necessity and interest in efficient, versatile, and potential synthetic procedures to achieve spiro compounds quickly and economically and the corresponding libraries makes this book a vital reference instrument in organic synthesis. This wide-ranging collection can inspire academics and industrialists and help in their future progress. We hope to comply with the expectations of a significant part of the scientific community, organic chemistry faculties, and students.

In the end, we would like to thank the colleagues who helped us in making this great work with their valuable and exciting reports.

LIST OF ABBREVIATIONS

AChE Acetylcholine-esterase
AEMs Anion-exchange membranes
AIBN Azobisisobutyronitrile

AIDS Acquired immunodeficiency syndrome

aza-MBHAza-Morita-Baylis-HillmanBGTCBottom-gate and top-contactBLHPCBent ladder-type hexaphenylene

Boc tert-Butyloxy-carbonyl
BPI Phenanthroimidazole
BuChE Butyryl-cholinesterase
CAN Ceric ammonium nitrate
CCR5 Chemokine receptor 5
CE Current efficiency

CFA Complete freund's adjuvant

CIP Cahn-Ingold-Prelog
CM Cross metathesis reaction
CNS Central nervous system

CP Cationic ring-opening polymerization

CPA Chiral phosphoric acid
CTLs Charge transport layers
CTMs Charge transporting materials

DAB 1,4-Dideoxy-1,4-imino-*D*-arabinitol 1,4-Diazabicyclo[2.2.2]octane

DAD 1,4-Diazabuta-1,3-diene

DAF Diazafluorene

1,3-DC 1,3-Dipolar cycloaddition

1,3-DCRs 1,3-Dipolar cycloaddition reactions

DFT Density functional theory

DIMCARB *N*,*N*-Dimethyl ammonium *N*′,*N*′-dimethyl

carbamate

DIPEA *N*-Ethyldiisopropylamine **DLW** Direct laser writing

DMAD Dimethyl acetylenedicarboxylateDMAP 4-Di-methylaminopyridine

DMPA Di-p-methoxy phenylaminedr Diastereoisomeric ratio

DSA-Ph *p*-Bis(*p-N*,*N*-diphenyl-aminostyryl)benzene

DSCs Dye-sensitized solar cells**D-Spiro-A** Donor-Spiro-Acceptor

DSX-LPP Dispiroxanthene-ladderpenta phenylene

EBV Epstein-Barr virus
ECD Electrochromic devices
ee Enantiomeric excess

EIS Electrochemical impedance spectroscopy

EL Electroluminescence
er Enantiomeric ratio
ESE Excess strain energy
ETL Electron transporting layer
EQE External quantum efficiency

FET Field-effect transistor
FIB The level of fibrinogen
FMO Frontier molecular orbital
FOLEDS Fluorescent OLEDs

FRAP Ferric reducing anti-oxidant power

FRP Free-radical polymerization

AG Free binding energy
GER Group equivalent reaction
GP Glycogen phosphorylase
HAT Hydrogen atom transfer

HAT Human african trypanosomiasis

HDAc2 Histone deacetylase 2HDF Human dermal fibroblastHGR Human glutathione reductase

HOMO Highest occupied molecular orbital HTIB [Hydroxy(tosyloxy)iodo]-benzene

HTL Hole transport layer

HTM Hole-transporting molecule
HWE Horner-Wadsworth-Emmons
ICT Intramolecular charge transfer

IE Ionization energy

IEDDA Inverse-electron-demand oxa-hetero-Diels-Alder IEDDAR Inverse-electron-demand Diels-Alder reaction

IL Interlayers

IMDA Intramolecular Diels-Alder

INH Isoniazid

IRPIntermolecular radical pair J_{SC} Short-circuit current density

K–DA-E Knoevenagel/Diels–Alder/epimerization

LEDs Light-emitting diodes

LPPE Lithium perchlorate in diethyl ether LPPP Ladder-type poly(p-phenylene)s LRRK2 Leucine-rich repeat kinase 2

LUMO Lowest unoccupied molecular orbital

MBH Morita-Baylis-Hillman

MC Merocyanine

MC2 Mycobacterium smegmatis
MDR Multidrug resistance phenotype

MDR-TB Multidrug-resistant *Mycobacterium tuberculosis*

MED Minimal effective dose

MES Maximum electroshock seizure
MIC Minimum inhibitory concentration
MIT Molecular integration technology

mitoKATP Mitochondrial ATP-dependent potassium channels

MP2 Møller–Plesset perturbation theory
 MPP⁺ 1-Methyl-4-phenylpyridinium
 MTB Mycobacterium tuberculosis H₃₇Rv

NBS N-Boromosuccimide NCS N-Chlorosuccinimide NDA Nitroso Diels-Alder

NF-κB B Cells

NHC
NIS
N-Iodosuccinimide
NMP
N-Methyl-2-pyrrolidone
NPS007994
NPSGs
Nanopolyspirogrids
OFBA
N-Heterocyclic carbene
N-Iodosuccinimide
N-Io

OFETs Organic field-effect transistors
OLEDs Organic light-emitting diodes
OPVs Organic photovoltaic devices
o-QDMs Ortho-quinodimethanes
OSCs Organic semiconductors

PA Phenylacridine
PCs Photoredox catalysts

PCEs Power conversion efficiency
PCMs Piezochromic materials

PCR Peptide coupling reagent

PE Power efficiency

PET Photoinduced electron transfer

PFF Pore filling fraction

PhOLEDs Phosphorescent organic light-emitting diodes

PI Photoinitiator

PIA Introduced photoinduced absorption PIFA [Bis(trifluoroacetoxy)iodo]benzene

PL Photoluminescence

PPV Poly(p-phenylene vinylene)
p-QMs Para-quinone methides
PSCs Perovskite solar cells
PTAA Poly(triarylamine)
PTC Phase transfer catalysis
PTSA p-Toluenesulfonic acid
PTZ Pentylenetetrazol

RCEM Ring-closing enyne metathesis
RCM Ring-closing metathesis

RFID Radio frequency identification

RH Relative humidity
RON Reductive oxy-Nazarov
rr Regioselective ratio

RRM Ring-rearrangement metathesis

RSE Ring strain energy RT Room temperature

RT-PCR Reverse transcription and polymerase chain

reaction

rubrene5,6,11,12-TetraphenylnaphthaceneRVDRegulatory volume decreaseSAFSpiro-acridine-fluoreneSAMsSelf-assembled monolayers

sarcKATP Sarcolemmal ATP-dependent potassium channels

SBF 9,9'-Spirobifluorene

SBTF Spiro[benzotetraphenefluorene]

SC Solar cell

SCD1 Stearoyl-CoA desaturase-1

SE Strain energy

SEI Semiconductor-electrolyte interface

SET Single-electron transfer
SFX Spiro[fluorene-9,9'-xanthene]
SGR Sevferth—Gilbert reagent

SI Selectivity index

SIADH Syndrome of inopportune anti-diuretic hormone SMOLEDs Small molecule organic light-emitting diodes

SOI Secondary orbital interaction

SP Spiropyran

SSDSCs Solid-state dye-sensitized solar cells

SSYX Shensong Yangxin capsule STED Stimulated-emission depletion

STP Spirothiopyran

SZMC Spirocyclic zwitterionic Meisenheimer TADF Thermally activated delayed fluorescence

TBAB Tetra-*n*-butyl-ammonium bromide
TBAF Tetra-*n*-butylammonium fluoride
TBAHS Tetrabutylammonium hydrogen sulfate

TBHP tert-Butyl hydroperoxide
TbTR Trypanosoma brucei TR
TCO Transparent conducting oxide
TDM Transition density matrix
TFA Trifluoroacetic acid

Th2 T Helper type 2
TMSCN Trimethylsilyl cyanide
TMSOTf Trimethylsilyl triflate

TNF-α Tumor necrosis factor-alpha

TPD *N,N'*-Diphenyl-*N,N'*-bis(3-methyl-phenyl)-1,1'-bi

phenyl-4,4'-diamine

TPT 2,4,6-Triphenylpyrylium tetarfluoroborate

TR Trypanothione reductase

TRPL Time-resolved photoluminescence

TX Thioxanthene TXO₂ Dioxothioxanthene

U4CC Ugi four-component condensation
UPS Ultraviolet photoemission spectroscopy

 V_{oc} Open-circuit voltages VECs Vinylethylene carbonates

VOFET Vertical organic field-effect transistor

 V_2 RA V_2 -receptor antagonists

YCK Yuehchukene

SPIROCYCLIC COMPOUNDS

1.1. Introduction

Spirocyclic compounds, also known as spiro compounds, are twisted structures with two or more rings that share a single atom [1]. The connecting atom, also named the spiroatom, is most often a quaternary carbon (spiro carbon). Spirocyclic compounds can be broadly classified into two categories based on the nature of their rings: carbocyclic and heterocyclic. Carbocyclic spiro compounds contain only carbon atoms in their rings, while heterocyclic spiro compounds contain at least one heteroatom such as nitrogen, oxygen, or sulfur. These rings can be similar or different and the simplest spiro compounds are bicyclic. According to the number of spiro atoms, these compounds are classified as monospiro, dispiro, trispiro, etc.

In 1900, von Baeyer found the first spiro compound [2]. The spiro center in these compounds creates a naturally occurring three-dimensional structure which can reduce the conformational entropy penalty associated with target binding and produce diverse three-dimensional shapes [3]. Additionally, the perpendicular arrangement of spiro compounds results in the suppression of molecular interactions of π -systems, enhances solubility, and prevents the formation of excimers often observed in solid-state fluorescent dyes. Furthermore, the doubling of molecular weight, combined with the cross-shaped molecular structure and rigidity of spiro compounds, leads to entanglement in the amorphous solid state, inhibiting crystallization [4]. Spiro compounds can exhibit central or axial chirality, meaning spiroatoms can be chiral even without four different substituents (see Figure 1-1) [5].

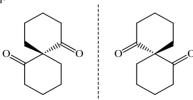


Fig. 1-1. Spirocycles with axial chirality.

Spiro molecules are an exciting class of chemicals due to their rigid conformational features and three-dimensional geometry which have numerous applications in organic optoelectronic devices, pharmaceutical chemistry, materials science, and as intermediates in organic synthesis [6-8]. Many natural products contain spiro motifs in their structure, such as Chitosenine 1, Marcfortine B 2, ACAT inhibitor 3, Fredericamycin 4, Elmenol G 5, (-)-Horsfiline 6, (+)-Elacomine 7, Azaspiracid 8, 6-Oxoleuconoxine 9, among others (see Figure 1-2) [9-13].

Fig. 1-2. Example of natural products containing spiro linkages.

Furthermore, spiro units form the core structures of essential commercial drugs like Spironolactone 10, Drospirenone 11, Ameinonide 12, Buspirone 13, Cevimeline 14, Apalutamide 15, Eplerenone 16, Fenspiride 17, and Fluspirilene 18, as shown in Figure 1-3 [14].

Fig. 1-3. Example of drugs containing spiro motifs.

1.2. Ring strain energy

One of the fundamental properties of spiro compounds is their ring strain energy (RSE), which refers to the increased energy of the molecule compared to its corresponding acyclic molecule. The concept of strain and strain energies (SEs) provides a basis for correlating molecular structures, stabilities, and reactivities. To quantitatively assess strain and SEs, one can take the difference between the enthalpy of formation, $\Delta H_f^{\circ}(g)$, of the substance under consideration (either theoretically calculated or experimentally determined) and that of a hypothetical strain-free model. There are two approaches to this: (i) based on bond energies and (ii) based on group increments. Since spiro compounds contain multiple rings, it is important to determine whether the total RSE of a spiro compound is exclusively the sum of the RSE of the component rings. The excess strain energy (ESE) is the difference between the total RSE of a compound containing multiple rings and the sum of the RSE of the individual rings.

Hence, Rüchard et al. evaluated the enthalpies of formation for triangulanes and spiro-cyclopropanated cyclobutanes by measuring their heat of combustion in a micro calorimeter (Figure 1-4). They found that in triangulanes 19-22 (in which every ring is a three-membered hydrocarbon), there is an excess ring strain energy of 8.6 kcal/mol per spiro atom. Such an additional strain increment is virtually nonexistent for 25 (0.8 \pm 0.4 kcal/mol), 26 (0.6 \pm 0.2 kcal/mol), and 27 (0.3 \pm 0.3 kcal/mol) but significant for 28 (2.4 \pm 0.5 kcal/mol) [15, 16].

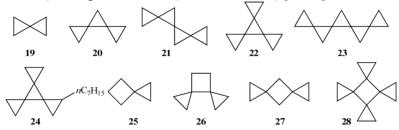


Fig. 1-4. Triangulanes and spirocyclopropanated cyclobutanes were studied by Rüchard et al.

Lammertsma et al. synthesized the first phospha[3]triangulane **36** as a W(CO)₅ complex which is a remarkably stable compound and possesses a Ph group (Scheme 1-1).

Scheme 1-1. Synthesis of phospha[3]triangulane 36.

By comparing the X-ray structures of Ph-P substituted phospha-spiropentane **34** and phosphirane **35** complexes, researchers observed a denser structure. The heats of formation for the parent organophosphorus compounds and their hydrocarbon analogs were estimated using ring separation reactions and G2MP2 theory. The study found that the excess strain in phospha[n]triangulanes, which is the strain for spiro carbons over that of the three-membered rings, is approximately 5.3 kcal/mol per spiro carbon. This is around 40% less than the excess strain observed in linear [n]triangulane hydrocarbons (Figure 1-5) [17].

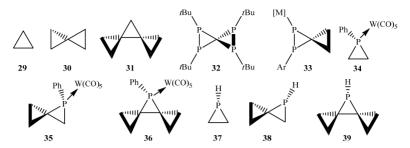


Fig. 1-5. Compounds 29-39 were studied by Rüchard et al.

The Lammertsma group investigated the ring strain in 2-aza-1-phospha-bicyclo[n.1.0]alkanes (n = 1-5) **40-44** using homodesmotic reactions at the G3(MP2) level. They compared the impact of cyclopropa(e)nation and heteroatom substitution with the corresponding bicyclic hydrocarbons and separate ring systems (Figure 1-6). The study revealed that the strain caused by fusion with cyclopropane is the sum of the individual rings. In contrast, the strain resulting from fusion with cyclopropene is much larger than the sum of rings due to the inverted nature of the bridgehead carbon. Substitution with nitrogen and phosphorus is favorable in all ring structures except in cyclohexane, and its effect is more pronounced in the more condensed structures. The evaluated SEs correlate very well with the experimental stability and reactivity of the bicyclic iron-amino phosphirane and phosphirene complexes [18].

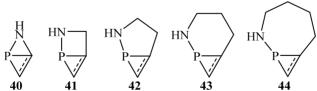


Fig. 1-6. Structure of 2-aza-1-phosphabicyclo[n.1.0]alka(e)nes 40-44.

In 2014, Stedjan et al. calculated the RSEs for oxygen-containing spiro compounds **45-50** using the group equivalent reaction formalism (Figure 1-7). They found that the compounds containing two three-membered rings possess the highest RSEs and showed the most significant ESE of about 12 kcal/mol. The RSEs of cyclic lactones differ with ring size from those of cyclic ethers. Cyclic ethers' RSEs decrease by a small amount from the three- to four-membered rings, then decrease drastically

as the ring increases to 5 atoms, and approach zero for the six-membered ring, representing the same unexpected behavior as seen in cycloalkanes. Cyclic lactones' RSEs reduce linearly to almost zero from the three- to the five-membered ring, then increase by 1-2 kcal/mol in the six-membered ring. Lactone-containing spiro compounds reveal regularly diminishing ESE as the size of the lactone ring increases, down to about 3 kcal/mol in the δ -lactone-containing spiro compound. Substitution of methyl group decreases RSE in these oxygen-containing spiro compounds, but fluorine substitution remarkably increases RSE, as has been reported in other compounds. But it has been shown that RSE alone is not fully related to the chemical reactivity of these spiro compounds [15].

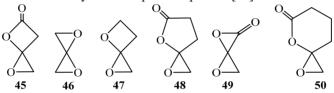


Fig. 1-7. Structure of oxygen-containing spiro compounds 45-50.

1.3. Chirality

Chiral spiro compounds have attracted the attention of researchers and scientists owing to their potential applications in the pharmaceutical industry as either active pharmaceutical ingredients, catalysts in synthesizing active enantiomers, or surface modifiers on silica particles to resolve enantiomers [19].

The spatial orientation of molecules, i.e., their stereochemistry, has been one of the most important features of organic compounds since the tetrahedral structure of carbon atoms in organic molecules was introduced by van't Hoff and Lebel in the 19th century. In the pharmaceutical sciences, stereochemistry is of prime significance in the interaction of drugs and organisms since all receptors in human body are chiral and probably show different pharmacologic effects and pharmacokinetics between enantiomers. Hence, the US FDA required in 1992 that the properties of each enantiomer in a racemate should be explored individually before the drug is taken to the market as a pure enantiomer or as a racemate [20].

Absolute configuration is the spatial arrangement of the atoms of a chiral molecular entity (or group) and its stereochemical description, e.g., *R* or *S*. Neither the sign nor the magnitude for the rotation per se offers any information concerning the absolute configuration of a substance [21].

Historically, Cahn-Ingold-Prelog (CIP) sequence rules were first introduced to identify a molecule's spatial arrangement of atoms using simple, mostly atom- or bond-based stereo descriptors in 1956 [22].

Molecules with carbon and metal atoms can exhibit chirality in three ways: axial, central, and planar chirality [23]. Determining the absolute configuration of molecules with axial symmetry, which are dissymmetric but not asymmetric, presents intriguing challenges due to the absence of a formal asymmetric carbon that can serve as a basis for configurational correlations. Compounds with C₂ symmetry, such as allenes, spiranes, hindered biphenyls, hexahelicene, and *trans*-cyclooctene, are of particular interest. Both chemical and crystallographical methods have been used to address these cases, leading to ingenious solutions. Spiranes, due to their relatively rigid geometry, offer a unique opportunity to study interactions between functional groups held in fixed relative orientations. As a result, they are valuable substrates for chiroptical studies, including rotation of the sodium D line, ORD, and CD. However, assignments of absolute configuration to chiral C₂ spiranes were not made until 1968-1969, when configurations were assigned for spiranes 51, 52, and 53 (Figure 1-8) [24].

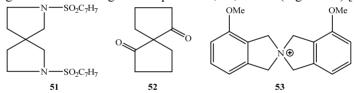
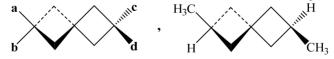


Fig. 1-8. Chemical structure of spiro compounds 51-53.

The spiro compound is chiral when:

The representations a, b, c, and d are different in such a way that the molecule does not have the chiral center, chiral axis, and chiral plane.



So, the eye can be put in the left or right of the molecule as below and the priority of representations a, b, c, and d is determined according to the CIP rule:



In the spiro-alkadiene compound, the eye can be put in left or right of the molecule as below and the priority of groups (double and single bonds) is determined according to the CIP rule:

1.4. Spiro-aromaticity

Aromaticity is a fundamental concept in chemistry, but a precise definition has remained elusive [25]. Typically, aromatic compounds exhibit the following characteristics, although there are exceptions: (i) planarity with cyclic delocalized $4n+2\pi$ electrons (Hückel's rule); (ii) equalization of bond lengths; (iii) deshielded chemical shifts of exocyclic protons in the ¹H NMR spectrum; (iv) the ability to undergo electrophilic substitution reactions; and (v) greater stability than their non-aromatic isomers [26]. According to van't Hoff's "tetrahedral carbon theory," organic compounds with a spiro carbon atom cannot be aromatic due to the sp³ hybridization of the carbon atom. However, in 2002, Rzepa et al. suggested that the spiro atom itself could participate in conjugation to form a class of spiro-aromatic systems in which each ring could maintain aromaticity independently or join together to exhibit global aromaticity. When the spiro atom is carbon, spiro-aromaticity cannot be achieved because it has only four valence electrons. However, by using transition metals as the spiro atom, Huang et al. discovered two types of bis-spiro metallic-aromatics with square planar (Type I, Figure 1-9a) and tetrahedral (Type II, Figure 1-9b) geometries. The d electron configurations of the metal centers largely dictate their geometric and electronic structures. Huang et al. suggested that the metal center should have more empty dorbitals to form more metal-aromatic tris-spiro structures. Rzepa et al.

evaluated some tris-spiro-aromatic candidates theoretically, using P, As, or V as the spiro atom, which demonstrated a certain degree of aromaticity, but none of them have been synthesized yet. Although Craig-Möbius type molecular orbitals were found in $Ta(DAD)^{3+}$ (DAD = 1,4-diazabuta-1,3-diene), there is not enough evidence to support its aromaticity. Additionally, the presence of Möbius-type orbitals in metallacycles is only a necessary condition, rather than sufficient, for Möbius aromaticity because Hückel aromatic systems with transition metals may also involve such orbitals, as revealed by Mauksch et al. The Huang group disclosed a hexalithio spiro vanada-cycle as a tris-spiro metalla-aromatic compound (Type III, Figure 1-9c). The V atom interacts with the π^* orbital of the three biphenyl ligands via its two 3d-orbitals, resulting in three metallaaromatic rings that form a 40π Craig-Möbius aromatic system [27].

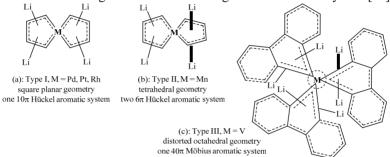


Fig. 1-9. Three types of spiro metallaaromatics.

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NOMENCLATURE OF SPIRO COMPOUNDS

2.1. IUPAC Nomenclature of Spiro Compounds

The nomenclature and name "spirane" were first proposed by von Baeyer for bicyclic compounds with one common atom to both rings which intersect at a single point. Later, Radulescu extended this nomenclature to include spiro-fused ring systems and recognized that each ring must be named individually, along with specifying the spiro-fusion details. Patterson also utilized these two systems in his analysis of ring systems. The Chemical Society introduced a third method for naming spiro compounds which was later incorporated into the IUPAC rules along with the other two methods [1, 2].

2.2. Compounds with only monocyclic ring components

Monospiro hydrocarbons are composed of two saturated cycloalkane rings and are named using a specific nomenclature convention. The prefix "spiro" is added to a von Baeyer descriptor that indicates the number of carbon atoms connected to the spiro atom in each ring, arranged in ascending order and separated by a period and enclosed in square brackets. The name of the original hydrocarbon indicates the total number of skeletal atoms.

In non-substituted monospiro compounds, the carbon atoms are numbered continuously, beginning from a ring atom that is adjacent to the spiro atom, proceeding through the smaller ring (if present), then through the spiro atom, and finally, around the second ring. Therefore, the general format for writing IUPAC nomenclature for non-substituted spiro compounds is spiro[a.b]alkane.

For substituted spiro compounds, the nomenclature convention is slightly different. The name starts with the prefix indicating the position of the substituents followed by the spiro descriptor which includes the number of atoms in the smaller and larger rings, respectively, in the spiro ring system, enclosed in square brackets. The numbering of carbon atoms is done from the smaller ring to the larger ring, giving the substituents the lowest possible numbers. When there are two or more substitutions, the

numbering is done in such a way that it gives the substitutions the lowest numbers [1, 2].

Spiro[2.4]heptane

Spiro[4.4]nonane

6-Methylspiro[2.5]octane 1.5-Dichlorospiro[2.4]heptane

The method mentioned for monocyclic spiro compounds is also used for radical monocyclic spiro rings, with the difference that they are named by replacing the "-ane" ending of the systematic name of the parent hydride with "-yl" [1].

Spiro[4.5]decan-2-yl

When monospiro compounds possess a double bond, the same numbering pattern is retained, but in such a direction around the rings that the double bonds receive numbers as low as possible. The format of writing the IUPAC nomenclature for spiro compounds in the presence of a double bond is spiro[a.b]alkyene (y = position number of double bonds) [2].

Spiro[2.4]hept-5-ene Spiro[4.4]nona-2,7-diene 8,8-Dimethylspiro[4.5]deca-1.6-diene

The same numbering pattern is retained when monospiro compounds possess a functional group, but low locants are allocated for the principal functional group. Low locants are allocated for the double bond if there is a double bond [1].

Spiro[5.5]undecan-3-one

Spiro[4.5]deca-1,9-diene-6-one